



PTO/SB/08 (07/05)

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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete if Known	
				Application Number	10/771,788
				Filing Date	February 4, 2004
				First Named Inventor	Frederick Espiau
				Art Unit	2828
				Examiner Name	Vu, David Hung
Sheet	1	Of	8	Attorney Docket Number	PLASLAMP-03

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
2	1.	US- 2001/0030509 A1	10-18-2001	Pothoven, et al	
	2.	US- 2001/0035720 A1	11-01-2001	Guthrie, et al.	
	3.	US- 2002/0105274 A1	08-08-2002	Pothoven, et al.	
	4.	US- 2005/0057158 A1	03-17-2005	Yian Chang, et al.	
	5.	US- 2005/0099130 A1	05-12-2005	Espiau, et al.	
	6.	US- 2005/0212456 A1	09-29-2005	Espiau, et al.	
	7.	US- 2005/0248281 A1	11-10-2005	Espiau, et al.	
	8.	US- 3,641,389	02-08-1972	Leidigh, William J.	
	9.	US- 3,787,705	01-22-1974	Bolin, et al.	
	10.	US- 3,826,950	07-30-1974	Hruda, et al.	
	11.	US- 3,860,854	01-14-1975	Hollister, Donald D.	
	12.	US- 3,942,058	03-02-1976	Haugsjaa, et al.	
	13.	US- 3,943,401	03-09-1976	Haugsjaa, et al.	
	14.	US- 3,993,927	11-23-1976	Haugsjaa, et al.	
	15.	US- 4,001,631	01-04-1977	McNeill, et al.	
	16.	US- 4,041,352	08-09-1977	McNeill, et al.	
	17.	US- 4,053,814	10-11-1977	Regan, et al.	
	18.	US- 4,206,387	06-03-1980	Kramer, et al.	
	19.	US- 4,359,668	11-16-1982	Ury, Michael G.	
	20.	US- 4,485,332	11-27-1984	Ury, et al.	
	21.	US- 4,498,029	02-05-1985	Yoshizawa, et al.	
	22.	US- 4,504,768	03-12-1985	Ury, et al.	
	23.	US- 4,507,587	03-26-1985	Wood, et al.	
	24.	US- 4,507,597	03-26-1985	Trost, David	
2	25.	US- 4,607,242	08-19-1986	Cozzie, James C.	

Examiner Signature	David Vu	Date Considered	6/6/06
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Examiner Name	Vu, David Hung					
Sheet	2	Of	8	Attorney Docket Number	PLASLAMP-03	

U.S. PATENT DOCUMENTS					
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DU	26.	US- 4,633,128	12-30-1986	Roberts, et al.	
↑	27.	US- 4,633,140	12-30-1986	Lynch, et al.	
	28.	US- 4,652,790	03-24-1987	Wood, Charles H.	
	29.	US- 4,673,846	06-16-1987	Yoshizawa, et al.	
	30.	US- 4,691,179	09-01-1987	Blum, et al.	
	31.	US- 4,749,915	06-07-1988	Lynch, et al.	
	32.	US- 4,887,192	12-12-1989	Simpson, et al.	
	33.	US- 4,902,935	02-20-1990	Wood, Charles H.	
	34.	US- 4,950,059	08-21-1990	Roberts, Victor D.	
	35.	US- 4,954,755	09-04-1990	Lynch, et al.	
	36.	US- 4,975,625	12-04-1990	Lynch, et al.	
	37.	US- 4,978,891	12-18-1990	Ury, Michael G.	
	38.	US- 5,021,704	06-04-1991	Walker, et al.	
	39.	US- 5,039,903	08-13-1991	Farrall, George A.	
	40.	US- 5,051,663	09-24-1991	Ury, et al.	
	41.	US- 5,070,277	12-03-1991	Lapatovich, Walter P.	
	42.	US- 5,072,157	12-10-1991	Greb, et al.	
	43.	US- 5,077,822	12-31-1991	Cremer, Cornelius	
	44.	US- 5,086,258	02-04-1992	Mucklejohn, et al.	
	45.	US- 5,227,698	07-13-1993	Simpson, et al.	
	46.	US- 5,334,913	08-02-1994	Ury, et al.	
	47.	US- 5,361,274	11-01-1994	Simpson, et al.	
	48.	US- 5,404,076	04-04-1995	Dolan, et al.	
✓	49.	US- 5,438,242	08-01-1995	Simpson, James E.	
DU	50.	US- 5,448,135	09-05-1995	Simpson, James E.	

Examiner Signature	David vu	Date Considered	6/6/06
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D	51.	US- 5,498,937	03-12-1996	Korber, et al.	
	52.	US- 5,525,865	06-11-1996	Simpson, James E.	
	53.	US- 5,541,475	07-30-1996	Wood, et al.	
	54.	US- 5,548,182	08-20-1996	Bunk, et al.	
	55.	US- 5,594,303	01-14-1997	Simpson, et al.	
	56.	US- 5,786,667	07-28-1998	Simpson, et al.	
	57.	US- 5,789,863	08-04-1998	Takahashi, et al.	
	58.	US- 5,814,951	09-29-1998	Smolka, et al.	
	59.	US- 5,831,386	11-03-1998	Turner, et al.	
	60.	US- 5,841,242	11-24-1998	Simpson, et al.	
	61.	US- 5,866,980	02-02-1999	Dolan, et al.	
	62.	US- 5,903,091	05-11-1999	MacLennan, et al.	
	63.	US- 5,910,710	06-08-1999	Simpson, James E.	
	64.	US- 5,923,116	07-13-1999	Mercer, et al.	
	65.	US- 5,926,079	07-20-1999	Heirie, et al.	
	66.	US- 5,949,180	09-07-1999	Walker, Dale S.	
	67.	US- 6,005,332	12-21-1999	Mercer, William Burton	
	68.	US- 6,031,333	02-29-2000	Simpson, James E.	
	69.	US- 6,084,348	07-04-2000	Love, Wayne G.	
	70.	US- 6,084,356	07-04-2000	Seki, et al.	
	71.	US- 6,137,237	10-24-2000	MacLennan, et al.	
	72.	US- 6,181,054 B1	01-30-2001	Levin, et al.	
	73.	US- 6,246,160 B1	06-12-2001	MacLennan, et al.	
	74.	US- 6,252,346 B1	06-26-2001	Turner, et al.	
	75.	US- 6,265,813 B1	07-24-2001	Knox, et al.	

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	76.	US- 6,291,936 B1	09-18-2001 ¹	MacLennan, et al.	
	77.	US- 6,310,443 B1	10-30-2001	MacLennan, et al.	
	78.	US- 6,313,587 B1	11-06-2001	MacLennan, et al.	
	79.	US- 6,326,739 B1	12-04-2001	MacLennan, et al.	
	80.	US- 6,424,099 B1	07-23-2002	Kirkpatrick, et al.	
	81.	US- 6,509,675 B2	01-21-2003	MacLennan, et al.	
	82.	US- 6,617,806 B2	09-09-2003	Kirkpatrick, et al.	
	83.	US- 6,856,092 B2	02-15-2005	Pothoven, et al.	
	84.	US- 6,949,887 B2	09-27-2005	Kirkpatrick, et al.	

FOREIGN PATENT DOCUMENTS

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	85.	DE 195 32 780 A1	03-13-1997	Rothe, Lutz, Dr.		
	86.	EP 0 035 898 A1	03-09-1981	Mitsubishi Denki Kabushiki Kaisha		
	87.	EP 0 419 947 A2	09-12-1990	Siemens AG (DE)		
	88.	WO 97/09748 A1	03-13-1997	Rothe, Lutz, Dr.		
	89.	WO 00/16365 A1	03-23-2000	Kirkpatrick, Douglas		
	90.	WO 03/083900 A1	10-09-2003	Gervelmeyer, Rolf		

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NON PATENT LITERATURE DOCUMENTS			
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2	91.	Counter Statement Under Rule 7(3) In the Matter of : European Patent Application No. 01957305.4 (EP 1307899), In the Name of : Luxim Corporation dated 21 January, 2006 And In the Matter of: An Application Under Section 12 and 82 of the Patents Act 1977 and Articles 1 and 3 of the Protocol on Recognition by Cervasion Limited ("Counterstatement")	
2	92.	Order to Show Cause Bd. R. 202(d), of Patent Interference No. 105,393 between Patent Application No. 09/818,092 (Guthrie, junior party) and U.S. Patent 6,737,809 (Espiau, senior party) by Administrative Patent Judge S. Medley mailed on 01/24/2006 (Schedule 1 of Counterstatement)	
2	93.	Guthrie, C., Drawings Submitted with Suggestion of Interference filed November 29, 2004 in U.S. Patent Application Serial No. 09/818,092, and Cited in and Available from Order to Show Cause (OSC) in Patent Interference No. 105,393 mailed from Administrative Patent Judge S. Medley on 01/24/2006. (See Page 10 Lines 1-7 and FN4 of OSC).	
2	94.	Decision of Hon. Thomas WM. Cain, Judge of the Superior Court of California, in Robert P. Schiro v. Digital Reflection, Inc., dated 11 May, 2004, (Schedule 2 of Counterstatement)	
2	95.	Mr. Turner's 31 st March, 2000 Memorandum and Agreement Referred to in Paragraph 19 of the Statement of Case, Copy of DRI-00-01 and a copy of a BNDA (Schedule 3 of Counterstatement)	

Examiner Signature	David Vu	Date Considered	6/6/06
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D	96.	Fusion's International Patent Application WO 99/36940 published 22 July, 1999 (Schedule 4 of Counterstatement)	
↑	97.	DRI's Schematic dated 12 April, 2000 (Schedule 5 of Counterstatement)	
	98.	Mr. Turner's Notes from the 11 April, 2000 Meeting (Schedule 6 of Counterstatement)	
	99.	Mr. Turner's 14 April, 2000 Memorandum and its Attachments including the Second Statement of Work(DRI-00-02), (Schedule 7 of Counterstatement)	
	100.	DRI's 20 April 2000 Presentation Materials "Dielectric Resonator Concept for Plasma Lamps" (Schedule 8 of Counterstatement)	
	101.	Excerpt from Professor Joshi's Notebook dated 20 April, 2000, (Schedule 9 of Counterstatement)	
	102.	Mr. Turner's 12 May, 2000 Plasma Lamp Development Plan Memorandum, Email and other Attachments thereto, (Schedule 10 of Counterstatement)	
↓	103.	Mr. Turner's 27 October, 2000 Email, (Schedule 11 of Counterstatement)	
D	104.	Mr. Turner's 3 November, 2000 Letter, (Schedule 12 of Counterstatement)	

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02	105.	Mr. Turner's 22 May, 2001 Letter, (Schedule 13 of Counterstatement)	
2	106.	Declaration of Patent Interference No. 105,393 between Patent Application Ser. No. 09/818,092 (Guthrie, junior party) and U.S. Patent 6,737,809 (Espiau, senior party) by Administrative Patent Judge S. Medley mailed on 01/24/2006	

UNPUBLISHED PATENT APPLICATIONS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶
2	107.	ESPIAU, FREDERICK M., ET AL., U.S. Patent Application No. 11/084,069 "Plasma Lamp with Dielectric Waveguide" filed on March 18, 2005	
2	108.	ESPIAU, FREDERICK M., ET AL., U.S. Patent Application No. 11/083,552 "Plasma Lamp with Dielectric Waveguide" filed on March 18, 2005	
2	109.	ESPIAU, FREDERICK M., ET AL., U.S. Patent Application No. 11/083,557 "Plasma Lamp with Dielectric Waveguide" filed on March 18, 2005	
2	110.	ESPIAU, FREDERICK M., ET AL., U.S. Patent Application No. 11/083,558 "Plasma Lamp with Dielectric Waveguide" filed on March 18, 2005	

Examiner Signature	David Vu	Date Considered	6/6/06
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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Complete if Known		
				Application Number	10/771,788	
				Filing Date	February 4, 2004	
				First Named Inventor	Frederick Espiau	
				Art Unit	2828	
				Examiner Name	Vu, David Hung	
Sheet	8	Of	8	Attorney Docket Number		PLASLAMP-03

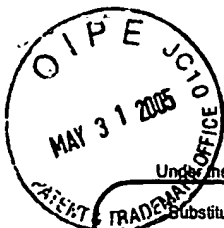
UNPUBLISHED PATENT APPLICATIONS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶
2	111.	ESPIAU, FREDERICK M., ET AL., U.S. Patent Application No. 11/083,559 "Plasma Lamp with Dielectric Waveguide" filed on March 18, 2005	
2	112.	KIRKPATRICK, DOUGLAS A., U.S. Provisional Application No. 60/133,885 "High-Brightness Microwave Lamp" filed on May 12, 1999	
2	113.	KIRKPATRICK, DOUGLAS A., U.S. Provisional Application No. 60/177,271 "High-Brightness Microwave Lamp" filed on January 21, 2000	

Examiner Signature	David UN	Date Considered	6/6/06
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 1 of 2

Complete if Known

Application Number	10/771,788
Filing Date	02/04/2004
First Named Inventor	Frederick M. Espiau
Art Unit	2828
Examiner Name	VU, David H.
Attorney Docket Number	PLASLAMP-03

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 60/192,731	03/27/2000	E. Sandberg et al.	None
		US- 60/224,059	08/09/2000	G. Prior et al.	None
		US- 60/224,257	08/10/2000	D. Wilson et al.	None
		US- 60/224,289	08/10/2000	D. Wilson et al.	None
		US- 60/224,290	08/10/2000	D. Wilson et al.	None
		US- 60/224,291	08/10/2000	D. Wilson et al.	None
		US- 60/224,298	08/10/2000	G. Prior et al.	None
		US- 60/224,866	08/11/2000	D. Wilson et al.	None
		US- 60/234,415	09/21/2000	G. Prior et al.	None
		US- 2001/0035720 A1	11/01/2001	C. Guthrie et al.	Figs. 3, 4B, 5
		US-			col.5, lines 4-61;
		US-			col.6, lines 1-13;
		US-			col.6, lines 53-61
		US-			
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				

Examiner Signature	David VU	Date Considered	6/6/06
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5/31/05

(Use as many sheets as necessary)

Complete if Known

Application Number	10/771,788
Filing Date	02/04/2004
First Named Inventor	Frederick M. Espiau
Art Unit	2828
Examiner Name	VU, David H.
Attorney Docket Number	PLASLAMP-03

Sheet	2	of	2
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U. S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

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Examiner Signature	David W.	Date Considered	6/6/06
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		Application Number	10/771,788		
		Filing Date	02/04/2005		
		First Named Inventor	Frederick M. Espiau		
		Art Unit	2828		
		Examiner Name	VU, David H.		
Sheet	1	of	1	Attorney Docket Number	PLASLAMP-03

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
Q		PISANO, N.A., Amendment and Request for Interference(12pp) and Appendix A(9pp) submitted in US Pat. Applcn. Ser. No. 09/818,092 to Guthrie et al., to USPTO on 11/29/2004.	
		PRIOR, G.A. Declaration(6pp) submitted in US Pat. Applcn. Ser. No. 09/818,092 Guthrie et al.	
		SANDBERG, E., Declaration submitted in US Pat. Applcn. Ser. No. 09/818,092 Guthrie et al.	
		TURNER, D., Letter to G.A. Prior(3pp) dated 03/31/2000, submitted in US Pat. Applcn. Ser. No. 09/818,092 Guthrie et al.	
		TURNER, D., Engineering Services Agreement(3pp); Statement of Work (1p) dated 03/31/2000, submitted in US Pat. Applcn. Ser. No. 09/818,092 Guthrie et al.	
		TURNER, D., electronic mail message to Wayne Catlett(2pp) sent on 06/26/2000, submitted in US Pat. Applcn. Ser. No. 09/818,092 Guthrie et al.	
		The six documents cited above were available via USPTO Public PAIR on or after 11/29/2004.	

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Sheet	1	of	1
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Application Number	10/771,788
Filing Date	02-04-2004
First Named Inventor	Frederick M. Espiau
Art Unit	2821
Examiner Name	TBD
Attorney Docket Number	PLASLAMP-03

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6/6/06

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